



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Zoe Weaver

Parent Serial No: 09/954,531 Art Unit: 1631

Filed: 18 September 2001 Examiner: C. L. Smith

Title: PROCESS FOR IDENTIFYING ANTI-CANCER THERAPEUTIC
AGENTS USING CANCER GENE SETS

Docket No: 689290-77

22 May 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

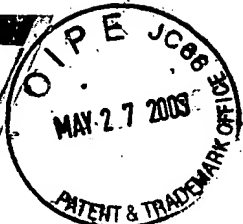
Statement Under 37 CFR 1.821(f) and (g)

Sir:

Applicant hereby asserts that the copy of the Sequence Listing filed herewith in CRF form (on CD-ROM) is identical to the Paper Sequence Listing filed originally with the application and no new matter has been added.

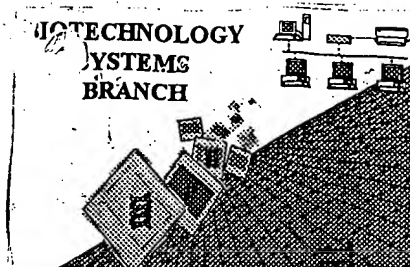
Respectfully submitted,

Alan J. Grant
Reg. No. 33,389
CARELLA, BYRNE, BAIN, GILFILLAN,
CECCHI, STEWART & OLSTEIN
6 Becker Farm Road
Roseland, NJ 07068
Tel. No.: (973) 994-1700



OIPE

161

0426
0590
0530
1630

CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/954531A
Filing Date: 9/18/2001
Date Processed by STIC: 5/21/2002

#7

TECH CENTER 1600/2900

JUN 20 2002

RECEIVED

STIC Contact: Mark Spencer, 703-308-4212

Nature of Problem:

The CRF (was):

- ☐ (circle one) Damaged or Unreadable (for Unreadable, see attached)
☐ Blank (no files on CRF) (see attached)
☐ Empty file (filename present, but no bytes in file) (see attached)
☐ Virus-infected. Virus name: _____ The STIC will not process the CRF.
☐ Not saved in ASCII text
☐ Sequence Listing was embedded in the file. According to Sequence Rules,
submitted file should **only** be the Sequence Listing.
☒ Did not contain a Sequence Listing. (see attached sample)
☐ Other: _____

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name,
Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two,
2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service , or other delivery service to: U.S. Patent and Trademark Office,
Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202